

## Human Capital Investment Operational Programme (2007- 2013)

### Progress Report Template

Each **Intermediate Body (IB)** in the HCI OP is required to submit a progress report at Activity Implementation Plan level to the Managing Authority twice a year as follows:

Spring: relating to the entire preceding calendar year  
Autumn: relating to the period January-June of the current year.

This template sets out the reporting requirements for each Monitoring Committee meeting. The Progress Reports to be completed by each IB should be brief and relate only to activity within the reporting period itself. An entry must be made under each heading, even if only to formally record no change.

**FOR THE REPORTING PERIOD:** January to December 2011

**PRIORITY:** No. 1 - INCREASING ACTIVATION OF THE LABOUR FORCE

**ACTIVITY NAME:** Labour Market Activation Fund ESF 1.6

#### 1. Activity description

Provide a paragraph *briefly* describing the objectives of the Activity and its target group.

The Labour Market Activation Fund was launched in March 2010, in order to assist in the creation of training and education provision for specific priority groups among the unemployed, namely the low skilled, and those formerly employed in declining sectors - construction, retail and manufacturing sectors, with particular emphasis on the under 35's and the long-term unemployed.

#### 2. Significant Changes in the Operating Environment

Report on any significant changes in the operating environment that impact, either positively or negatively, on the capacity of the Activity to perform. Examples would include changes in Government policy, socio-economic trends, etc. Where no significant change has occurred, this should be indicated.

N/A

#### 3. Commentary on Activity-related progress

This section requires an overall commentary on actions within the Activity *for the period to which the report relates*. Inclusion of historical information should be avoided. Items of interest to the Monitoring Committee would include, for example:

- Any new initiatives or issues which arose *in the reporting period*;
- Any reports/studies either started or finalised/published *within the reporting period*;
- Any obstacles encountered.

Commentary should be confined to progress *within the area of Activity only*.

The Labour Market Activation Fund was launched in March 2010 in order to aid the creation of training and education programmes for specific priority groups among the unemployed. The groups are the low skilled, and those formerly employed in declining sectors - construction, retail and manufacturing sectors - with particular emphasis on the under 35's and the long-term unemployed.

A major objective of this Fund was to seek and support new and innovative ways to deliver upskilling and re-skilling for the unemployed, outside of established mainstream provision, and to use competitive tendering to drive efficiency and to maximise the benefit for public funds.

The Fund was massively oversubscribed; some 370 tenders were received as a result of a public tendering competition in April 2010. Based on the results of an independent evaluation of tenders, the Department contracted 55 organisations across the private, public, not-for-profit and voluntary sectors to provide education and training programmes for up to 12,000 unemployed people from a total Activation Fund of €32 million (financed by the National Training Fund).

At the conclusion of the programme in 2012 a total of €29.5 million (of which €12.6 million in 2011) invested via LMAF 2010 supporting 55 projects led by 42 providers. A total of 12,629 participants, exceeding the original target, were supported under the LMAF.

Within these projects, there was a focus on capacity building and support; core skills development; providing a formal education platform; building entrepreneurial capability; industry focused up-skilling and re-skilling; and engagement with employers. There was a strong focus on accreditation of skills development, with interventions focused on provision in line with defined NFQ levels.

#### 4. Progress in relation to physical performance indicators and targets

Statistical information relating to the reporting period should be provided in the format contained in the programme *Activity Implementation Plan*- in the table below.

A commentary on the main features of the statistical information should also be provided.

If your Activity does not lend itself to report on the Indicators by calendar year (Jan to Dec.), then please state this fact and complete the table on a mid-year to mid-year basis (e.g. June 2008 to May 2009).

PERFORMANCE INDICATORS:							
Annual and Cumulative Indicators							
A. OUTPUT							
	Region	Current period/Year: (e.g.) Jan. – Dec. 2011 as applicable			Cumulative totals 2007-2011		
		Male	Female	Total	Male	Female	Total
1. The Number of Persons Participating in Training and Education Programmes	BMW						
	SAE						
	NAT	8774	3801	12575	8774	3801	12575
B. RESULT							
	Region	Male	Female	Total	Male	Female	Total
	BMW						

Progress Report for the HCI-OP 2007-2013

1. Number of Persons completing programme and Gaining Certification	SAE						
	NAT			10595			10595
	Region	<i>Male</i>	<i>Female</i>	<i>Total</i>	<i>Male</i>	<i>Female</i>	<i>Total</i>
2. The number of persons obtaining employment during programme	BMW						
	SAE						
	NAT						
<b>C. IMPACT</b>							
	Region	<i>Male</i>	<i>Female</i>	<i>Total</i>	<i>Male</i>	<i>Female</i>	<i>Total</i>
1. % of Persons Trained in Employment or Further Education at time of follow-up Survey.	BMW						
	SAE						
	NAT			36% (4542)			

REPLY:

In addition, statistics on activity at NUTS III (i.e. Regional Authority) level should be provided in the format contained in the table below and should be gender disaggregated where appropriate. The nature of the indicators available at this level may vary from Activity to Activity (e.g. Number of people trained, number of companies assisted, etc). The type of indicator used should, therefore, be stated. Any significant development at NUTS III level should be commented on.

**NUTS III Activity Indicator Report for reporting period (Jan – Dec. 2011)**

<b>Activity:</b> <i>Number of persons trained</i>			
<b>Indicator Used:</b>			
Region	Total	Gender break-down	
		Male	Female
<i>Border Region</i>			
<i>Midland Region</i>			
<i>West Region</i>			
<b>BMW Total</b>			
<i>South East Region</i>			
<i>Mid East Region</i>			
<i>Dublin Region</i>			
<i>South West Region</i>			
<i>Mid West Region</i>			
<b>SAE Total</b>			
<b>National (BMW + SAE)</b>			

Whilst IBs are free to provide *any other additional information* they feel is relevant, this should be provided *separately by way of an appendix* to the main progress report.

## 5. Progress with regard to expenditure

This section of the report relates to the performance of the Activity with respect to the Revised Forecast on the Financial Tables. Financial information should be provided for the reporting period, as well as cumulatively since the start of the OP, in the format contained in the tables at Annex 1.

A commentary should be provided on progress in relation to the annual and cumulative forecasts at National and BMW/SAE levels. Where cumulative expenditure to date is below 75% of forecast, Intermediate Bodies are required to provide reasons for the under-spend along with proposals for remedial action.

REPLY: N/A

**Table 1 – Expenditure for the Reporting Period Jan. to December 2011 (€m)**

<b>HCI OP €m</b>	<i>Total Programme Forecast*</i>	<i>Total Programme spend</i>	<i>Expenditure as % of forecast</i>	<i>Total co- financed spend</i>	<i>EU Social Funds</i>	<i>National Public Co- financed</i>	<i>Private Co-financed</i>
<i>Source of information within financial tables</i>		<i>Column</i>		<i>Column</i>	<i>Column</i>	<i>Column</i>	<i>Column</i>
<b>Region</b>							
<i>Dublin</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>South East</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>Mid East</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>Mid West</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>South West</i>		0.00	0.00	0.00	0.00	0.00	0.00
<b>SAE Total</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<i>Border</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>Midland</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>West</i>		0.00	0.00	0.00	0.00	0.00	0.00
<b>BMW Total</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>National (BMW + SAE)</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

**Table 2 – Cumulative Expenditure since January 2007 (€m)**

<b>HCI OP €m</b>	<i>Total Programme Forecast*</i>	<i>Total Programme spend</i>	<i>Expenditure as % of forecast</i>	<i>Total co- financed spend</i>	<i>EU Structural Funds</i>	<i>National Public Co- financed</i>	<i>Private Co-financed</i>
<i>Source of information within financial tables</i>		<i>Column</i>		<i>Column</i>	<i>Column</i>	<i>Column</i>	<i>Column</i>
<b>Region</b>							
<i>Dublin</i>		<b>8</b>	0.00	0.00	0.00	0.00	0.00
<i>South East</i>		8.7	0.00	0.00	0.00	0.00	0.00
<i>Mid East</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>Mid West</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>South West</i>		0.00	0.00	0.00	0.00	0.00	0.00
<b>SAE Total</b>		<b>19.5</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<i>Border</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>Midland</i>		0.00	0.00	0.00	0.00	0.00	0.00
<i>West</i>		0.00	0.00	0.00	0.00	0.00	0.00
<b>BMW Total</b>		<b>1.24</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>National (BMW + SAE)</b>		<b>29.08</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

\* See Financial Tables.

## 6. Data On Participants In Programme Activity Implementation Plan (Commission Implementing Regulation 1828/2006 - Annex XXIII)

### COMPLETE ALL THESE SECTIONS

#### 6.1 NUMBER OF PARTICIPANTS PER YEAR

(People entering, those leaving, carry-over from one year to the next)

	Male:	Female:	Total:
Entrants: (a)	8,774	3,801	12,575
Leaving: (b)			1,980
Carry-over to next year [ (a) less (b) ]			N/A
<b>Total:</b>			

#### 6.2 BREAKDOWN OF PARTICIPANTS BY GENDER

	Male:	Female:	Total:
Participants:	8,774	3,801	12,575

### 6.3 BREAKDOWN OF PARTICIPANTS ACCORDING TO STATUS IN THE LABOUR MARKET

	Male:	Female:	Total:
<b>Employed</b> (Total number of employed, including self-employed)	0	0	0
Self-employed	0	0	0
<b>Unemployed</b> (Total number of unemployed including long-term unemployed)	8,774	3,801	12,575
Long-term unemployed			
<b>Inactive persons</b> (Total number of inactive persons, including those in education, training or retirement, those having given up business, the permanently disabled, those fulfilling domestic tasks or other)			
Inactive persons in education or training			
<b>Note: Employed + Unemployed + Inactive = Total number of Participants (by Gender)</b>			

### 6.4 BREAKDOWN OF PARTICIPANTS BY AGE

	Male:	Female:	Total:
Young people (15-24) These figures refer to under 35	4671	2110	6781
Older workers (55-64) These figures refer to over 35	3902	1665	5567

### 6.5 BREAKDOWN OF PARTICIPANTS BY VULNERABLE GROUPS, IN ACCORDANCE WITH NATIONAL RULES

	Male:	Female:	Total:
Minorities:			3384
Migrants:			
Disabled:			
Other disadvantaged people: (no educational attainment)			124

## 6.6 BREAKDOWN OF PARTICIPANTS BY EDUCATIONAL ATTAINMENT

	Male:	Female:	Total:
Primary or lower secondary education (ISCED 1 and 2)			
Upper secondary education (ISCED 3) These figures refer to ISCED 1, 2 and 3.			5351
Post-secondary non-tertiary education (ISCED 4)			
Tertiary education (ISCED 5 AND 6) These figures refer to ISCED 4 and above			5125

## 7. Horizontal issues

### Reporting on the Horizontal Principles

(1) Social Inclusion

(2) Gender Equality and (3) Wider Equal Opportunities

will be required only for the Spring Monitoring Committee meeting each year. The templates for reporting on the Horizontal Principles will be issued to IBs by the OP Managing Authority for completion in advance of Spring Monitoring Committee meetings.

SEE SEPARATE TEMPLATES

## 8. Compliance with EU and National policy

It is a requirement that all Programme Activity Implementation Plans comply with EU and national policy in areas such as procurement, competition, state aids etc. Confirmation of compliance should be formally recorded in the progress report with any deviations reported on specifically.

REPLY: It is the policy of the Department of Education and Skills to comply with all EU regulations in relation to procurement, state aids etc.

Contracted Bodies were required to acknowledge the support of the Activation Fund and the ESF in all publicity material/events for their programmes. In that regard, Information & Publicity requirements for ESF were summarised in Schedule 4 to all Contracts.

Project management visits to contracted bodies included examination of evidence of adequate acknowledgement of ESF support for the programme in promotional material, electronic and paper formats and evidence of awareness by programme participants of ESF support

## 9. Added Value of ESF Interventions

Please supply a paragraph(s) explaining the benefits and giving examples of how ESF is adding value to national policies and projects, e.g. (the following are examples):

- an increase in the number of participants;
- the support of specific target groups or activities which are not covered by national programmes;
- the testing of new approaches, and/or
- the improvement of processes.

Please feel free to add any further information that might help understand how ESF adds value in Ireland.

REPLY:

## 10. Publicity

All ESF co-funded Activities are required to comply with Social Funds information and publicity requirements (see also Section 11 of the AIP). Confirmation of compliance should be formally recorded in the progress report with any deviations reported on specifically.

Specific **examples** of compliance with the requirements should also be provided HERE (e.g. articles in the local or national papers, media, Commission visits, launches, etc).

At all Verification visits to programmes by LMAF staff, programmes were asked to provide copies of advertisements, application forms and signage to provide evidence of their complying with publicity requirements. LMAF staff also requested confirmation from programme providers on how course participants were made aware that the programme had been part fund under ESF aid and looked for signage, posters etc in classrooms and in reception areas of centres.

## 11. Proposals for re-profiling of expenditure (where applicable)

Any proposal to reprofile expenditure should be contained in this section of the report and should include the rationale for doing so. Reprofiling of expenditure can only be carried out with the approval of the Monitoring Committee (and the European Commission depending on the nature of the reprofiling proposed).

N/A

## 12. Proposals to amend Programme Activity Implementation Plans (where applicable)

Amendments to Activity Implementation Plans require the approval of the Monitoring Committee. Any proposal to amend an existing Activity Implementation Plan should indicate the precise change sought and the rationale for doing so. A copy of the proposed new Activity Implementation Plan should also be provided.

N/A